

RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

DISTRIBUTED POWER GENERATION PLANT AUTOMATED EVENT
ASSESSMENT AND MITIGATION PLAN DETERMINATION PROCESS

the specification of which (check applicable box(es)):

☒ is attached hereto
☐ was filed on _____ as U.S. Application Serial No. _____ (Atty. Dkt. No. 839-1247)
☐ was filed as PCT International application No. _____ on _____
and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):	Application Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

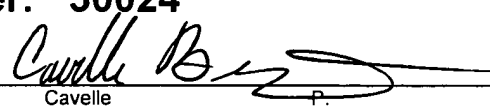
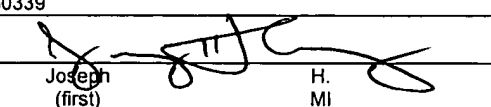
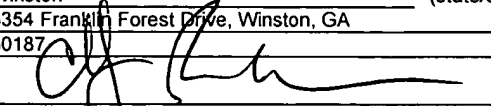
Application Number	Date/Month/Year Filed
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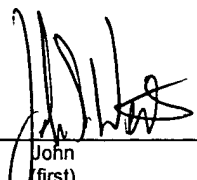
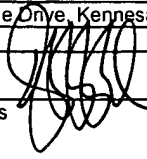
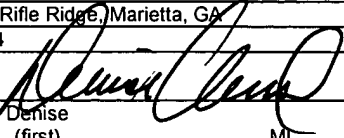
I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):	Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoads, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; Gary R. Tanigawa, 43180. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

Customer Number: 30024

1.	Inventor's Signature: <u>x</u>  Inventor: Cavelle Benjamin, IV (first) (last) Residence: (city) Atlanta (state/country) Georgia Mailing Address: c/o GE Energy Services, EST - Operations Center - Information BB, 4200 Wildwood Parkway, Atlanta, GA (Zip Code) 30339	Date: <u>x</u> <u>10/16/2003</u> U.S. (citizenship)
2.	Inventor's Signature: <u>x</u>  Inventor: Joseph H. Thompson (first) (last) Residence: (city) Winston (state/country) Georgia Mailing Address: 3354 Franklin Forest Drive, Winston, GA (Zip Code) 30187	Date: <u>x</u> <u>10/16/03</u> U.S. (citizenship)
3.	Inventor's Signature: <u>x</u>  Inventor: Chris Rambosek (first) (last) Residence: (city) Atlanta (state/country) Georgia Mailing Address: 5545 Hedgebrook Drive, Acworth, GA (Zip Code) 30101	Date: <u>x</u> <u>10/16/03</u> U.S. (citizenship)

4. Inventor's Signature: x  Date: x 10/14/03
Inventor: John S. Weathers U.S.
(first) (last) (citizenship)
Residence: (city) Kennesaw (state/country) Georgia
Mailing Address: 108 Creekside Dr, Kennesaw, GA
(Zip Code) 30144
5. Inventor's Signature: x  Date: x 10/14/03
Inventor: Douglas W. Paul U.S.
(first) (last) (citizenship)
Residence: (city) Marietta (state/country) Georgia
Mailing Address: 1750 Rifle Ridge, Marietta, GA
(Zip Code) 30064
6. Inventor's Signature: x  Date: x 10/20/03
Inventor: Denise Voss U.S.
(first) (last) (citizenship)
Residence: (city) Kennesaw (state/country) Georgia
Mailing Address: 4957 Dana Drive, Kennesaw, GA
(Zip Code) 30144
7. Inventor's Signature: x _____ Date: x _____
Inventor: Philippe Kavafyan French
(first) (last) (citizenship)
Residence: (city) Maisons-Laffitte (state/country) France
Mailing Address: 72 Avenue Lavoisier - 78600 Maisons-Laffitte - France
(Zip Code) 78600

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ASSESSMENT AND MITIGATION PLAN DETERMINATION PROCESS**

the specification of which (check applicable box(es)):

☒ is attached hereto
☐ was filed on _____ as U.S. Application Serial No. _____ (Atty. Dkt. No. 839-1247)
☐ was filed as PCT International application No. _____ on _____
and (if applicable to U.S. or PCT application) was amended on _____

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number	Country	Day/Month/Yr Filed
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):
Application Serial No.

Day/Month/Year Filed

Status: patented
pending, abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8th Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffrey H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; Gary R. Tanigawa, 43180. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

Customer Number: 30024

1.	Inventor's Signature: <u>x</u>	Date: <u>x</u>
	Inventor: <u>Cavelle</u> <u>P.</u> <u>Benjamin, IV</u> <u>U.S.</u> (first) (MI) (last) (citizenship)	
	Residence: (city) <u>Atlanta</u> (state/country) <u>Georgia</u>	
	Mailing Address: <u>c/o GE Energy Services, EST - Operations Center - Information BB, 4200 Wildwood Parkway, Atlanta, GA</u>	
	(Zip Code) <u>30339</u>	
2.	Inventor's Signature: <u>x</u>	Date: <u>x</u>
	Inventor: <u>Joseph</u> <u>H.</u> <u>Thompson</u> <u>U.S.</u> (first) (MI) (last) (citizenship)	
	Residence: (city) <u>Winston</u> (state/country) <u>Georgia</u>	
	Mailing Address: <u>3354 Franklin Forest Drive, Winston, GA</u>	
	(Zip Code) <u>30187</u>	
3.	Inventor's Signature: <u>x</u>	Date: <u>x</u>
	Inventor: <u>Chris</u> <u></u> <u>Rambosek</u> <u>U.S.</u> (first) (MI) (last) (citizenship)	
	Residence: (city) <u>Atlanta</u> (state/country) <u>Georgia</u>	
	Mailing Address: <u>5545 Hedgebrook Drive, Acworth, GA</u>	
	(Zip Code) <u>30101</u>	

4. Inventor's Signature: x Date: x
Inventor: John S. Weathers U.S.
(first) (last) (citizenship)
Residence: (city) Kennesaw (state/country) Georgia
Mailing Address: 108 Creekside Drive, Kennesaw, GA
(Zip Code) 30144
5. Inventor's Signature: x Date: x
Inventor: Douglas W. Paul U.S.
(first) (last) (citizenship)
Residence: (city) Marietta (state/country) Georgia
Mailing Address: 1750 Rifle Ridge, Marietta, GA
(Zip Code) 30064
6. Inventor's Signature: x Date: x
Inventor: Denise Voss U.S.
(first) (last) (citizenship)
Residence: (city) Kennesaw (state/country) Georgia
Mailing Address: 4957 Dana Drive, Kennesaw, GA
(Zip Code) 30144
7. Inventor's Signature: x Date: Oct 15, 2003
Inventor: Philippe Kavafyan French
(first) (last) (citizenship)
Residence: (city) Maisons-Laffitte (state/country) France
Mailing Address: 72 Avenue Lavoisier - 78600 Maisons-Laffitte - France
(Zip Code) 78600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Benjamin et al.

Atty. Ref.: 839-1247

Serial No. Not Yet Assigned

Group: Not Yet Assigned

Filed: Herewith

Examiner: Not Yet Assigned

For: DISTRIBUTED POWER GENERATION PLANT
AUTOMATED EVENT ASSESSMENT AND
MITIGATION PLAN DETERMINATION PROCESS

* * * * *

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY
AND EXCLUSIVE PROSECUTION HEREAFTER BY
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

General Electric Company, a New York Corporation, ("Assignee"), being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints the attorneys of **Customer Number 30024** individually and collectively my/its attorneys to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith, and with the resulting patent.

General Electric Company also authorizes Nixon & Vanderhye to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.

Benjamin et al.
Serial No. Not Yet Assigned
N&V Ref.: 839-1247
GE Ref.: 124416

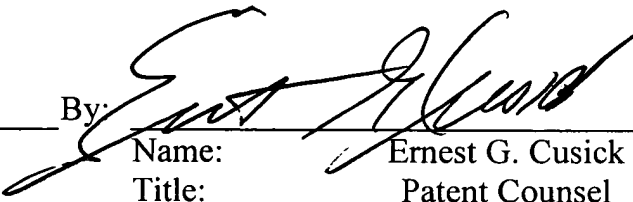
Certificate Under 37 C.F.R. §3.73(b)

I hereby certify that General Electric Company of 1 River Road, Schenectady, NY 12345 is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

I have reviewed the documents in the chain of title of the patent application identified above, and to the best of my knowledge and belief, title is in the aforesaid assignee for which I am empowered to act in this matter.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

GENERAL ELECTRIC COMPANY

9/17/2003 By: 
Date Name: Ernest G. Cusick
Title: Patent Counsel

ASSIGNMENT

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, Cavelle P. Benjamin, IV, c/o GE Energy Services, EST – Operations Center – Information BB, 4200 Wildwood Parkway, Atlanta, GA 30339; Joseph H. Thompson, 3354 Franklin Forest Drive, Winston, GA 30187; Chris Rambosek, 5545 Hedgebrook Drive, Acworth, GA 30101; John S. Weathers, 108 Creekside Drive, Kennesaw, GA 30144; Douglas W. Paul, 1750 Rifle Ridge, Marietta, GA 30064; Denise Voss, 4957 Dana Drive, Kennesaw, GA 30144; and Philippe Kavafyan, 72 Avenue Lavoisier – 78600 Maisons-Laffitte, France 78600, respectively, sell and assign to General Electric Company, a New York Corporation, its successors and assigns the entire right, title and interest in and to the improvements in DISTRIBUTED POWER GENERATION PLANT AUTOMATED EVENT ASSESSMENT AND MITIGATION PLAN DETERMINATION PROCESS invented by us, as described in the application for United States Patent being filed herewith; therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said General Electric Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

10/16/2003 Cavell P. Benjamin, IV
Date Cavelle P. Benjamin, IV

Witnessed by:

Name: [Signature] Date: 10/16/2003
Name: Lan [Signature] Date: 10/16/2003

STATE OF GA

COUNTY OF COBB



This ____ day of _____, 2003, before me personally came the above-named Cavelle P. Benjamin, IV, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My Commission Expires: _____
Notary Public

10/16/03
Date


Joseph H. Thompson

Witnessed by:

Name:  Date: 10/16/03
Name:  Date: 10/16/03

STATE OF GA


COUNTY OF COBB

This ____ day of _____, 2003, before me personally came the above-named Joseph H. Thompson, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.


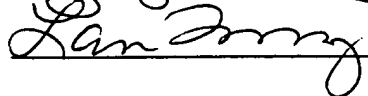
My Commission Expires: _____
Notary Public

10/16/03

Date


Chris Rambosek

Witnessed by:

Name:  Date: 10/16/03
Name:  Date: 10/16/03

STATE OF GA

COUNTY OF COBB

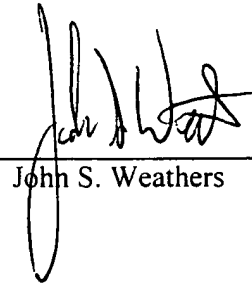
This ____ day of _____, 2003, before me personally came the above-named Chris Rambosek, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____

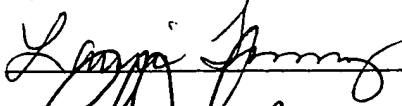
10/14/03

Date


John S. Weathers

Witnessed by:

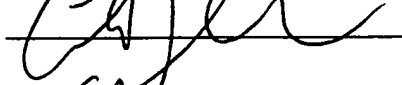
Name:



Date:

10/14/03

Name:



Date:

10/14/03

STATE OF

GA

COUNTY OF

CORB

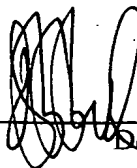
This 14th day of October, 2003, before me personally came the above-named John S. Weathers, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires:

10/14/03

Date



Douglas W. Paul

Witnessed by:

Name: Mark Bellamy

Date: 10/14/03

Name: Paula Martin

Date: 10/14/03

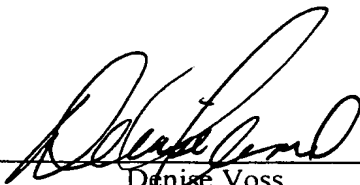
STATE OF GA

COUNTY OF Cobb

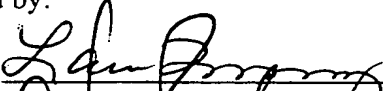

This 14 day of OCTOBER, 2003, before me personally came the above-named Douglas W. Paul, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____

10/20/03 
Date Denise Voss

Witnessed by:

Name:  Date: 10/20/03
Name:  Date: 10/20/03

STATE OF _____

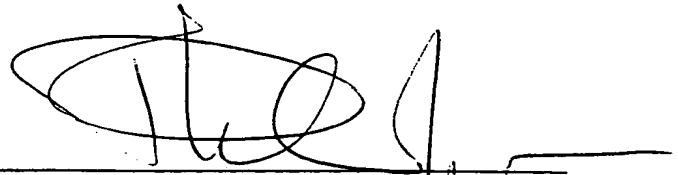
COUNTY OF _____

This _____ day of _____, 2003, before me personally came the above-named Denise Voss, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

My Commission Expires: _____
Notary Public

Oct 15, 2003

Date


Philippe Kavafyan

Witnessed by:

Name:



Date:

10/15/03

Name:



Date:

10/16/03

STATE OF _____)

COUNTY OF _____)

This ____ day of _____, 2003, before me personally came the above-named Philippe Kavafyan, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Notary Public

My Commission Expires: _____